



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1

of 2

Application Number	10/810,107
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Filing Date	03/26/2004
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First Named Inventor	Renato Staub
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Art Unit	3627	9693
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Examiner Name

Attorney Docket Number	5333-3
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ²
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)	MM-DD-YYYY			
	3.	WO 01/91590 A1	03/05/2001	Hoffman et al		

/Edward Baird/

Date Considered

01/09/2008

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/810,107
		Filing Date	03/26/2004
		First Named Inventor	Renato Staub
		Art Unit	3627 3693
Examiner Name			
Sheet 2 of 2	Attorney Docket Number	5333-3	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/E.B./	4	United States Published Application No. 2003/0088492, entitled "Method and apparatus for creating and managing a visual representation of a portfolio and determining an efficient allocation	
/E.B./	5	United States Published Application No. 2002/0138381, entitled "Individually managed accounts with multiple style allocations options	
/E.B./	6	United States Published Application No. 2002/0138386, entitled "User interface for a financial advisory system	
/E.B./	7	United States Published Application No. 2002/0174045, entitled "System, method, and computer program product for cost effective, dynamic allocation of assets among a plurality of investments	

Examiner Signature	/Edward Baird/	Date Considered	01/09/2008
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